

# Satake's Good Basic Invariants for Finite Reflection Groups

Yukiko Konishi (Tsuda Univ.)

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# Symmetric Polynomials

Let  $S_n$  be the symmetric group of degree  $n$ .

$S_n$  acts on  $\mathbb{R}[x_1, \dots, x_n]$  by permuting the variables.

A polynomial  $f \in \mathbb{R}[x_1, \dots, x_n]$  is symmetric if  $f$  is invariant under the  $S_n$ -action.

## Example

The Elementary Symmetric Functions:

$$E_1 = \sum_{i=1}^n x_i, \quad E_2 = \sum_{1 \leq i < j \leq n} x_i x_j, \quad E_3 = \sum_{1 \leq i < j < k \leq n} x_i x_j x_k, \quad \dots$$

## Theorem (Fundamental thm of Symmetric Functions)

$E_1, \dots, E_n$  are algebraically independent, and

$$\mathbb{R}[x_1, \dots, x_n]^{S_n} = \mathbb{R}[E_1, \dots, E_n].$$

This theorem is generalized to finite reflection groups.

# Finite Reflection Groups

Let  $V$  be a Euclidean space of dimension  $n$ .

## Definition

- 1 A reflection is a linear transformation  $s$  which sends some nonzero vector to its negative while fixing the hyperplane orthogonal to the vector. Such a vector is called a root of the reflection.
- 2 A finite subgroup of  $GL(V)$  generated by reflections is called a finite reflection group.

Irreducible finite reflection groups were classified, and they are called

$$A_n (\cong S_{n+1}), B_n, D_n, E_6, E_7, E_8, F_4, G_2, H_3, H_4, I_2(m) .$$

# Basic Invariants

Let  $G$  be a finite reflection group acting on a Euclidean space  $V$  of dimension  $n$ .

Denote by  $S$  the algebra of polynomial functions on  $V$ . The  $G$ -action on  $V$  induces an action on  $S$ . An element  $g \in G$  acts on  $f \in S$  by

$$(gf)(v) = f(g^{-1}v) \quad (v \in V).$$

$f \in S$  is  $G$ -invariant if  $gf = f$  holds for all  $g \in G$ .

The subalgebra of  $G$ -invariant polynomials is denoted  $S^G$ .

## Theorem (Chevalley 1955)

*$S^G$  is generated by  $n$  homogeneous algebraically independent polynomials of positive degrees.*

Such a set of generators is called a set of basic invariants. The degrees  $d_1, \dots, d_n$  of generators  $f_1, \dots, f_n$  are uniquely determined by  $G$ . We assume that  $d_1 \leq d_2 \leq \dots \leq d_n$ .

## Example: $A_{n-1}$

Define the linear action of  $S_n$  on  $\mathbb{R}^n$  by

$$\sigma \mathbf{e}_i = \mathbf{e}_{\sigma(i)} \quad (1 \leq i \leq n)$$

where  $\{\mathbf{e}_1, \dots, \mathbf{e}_n\}$  is the standard basis of  $\mathbb{R}^n$ .

- 1 Each transposition  $(i, j)$  acts as the reflection w.r.t. the hyperplane  $x_i = x_j$  where  $x_1, \dots, x_n$  are the coordinates associated to  $\{\mathbf{e}_1, \dots, \mathbf{e}_n\}$ .
- 2 The  $S_n$ -action fixes the line  $\mathbb{R}(\mathbf{e}_1 + \dots + \mathbf{e}_n)$ . Thus  $S_n$  acts on the orthogonal complement  $V = \{x_1 + \dots + x_n = 0\}$  and this action is irreducible.

$S_n$  together with its action on  $V$  is called the finite reflection group of type  $A_{n-1}$ . For  $A_{n-1}$ ,

$$S = \mathbb{R}[x_1, \dots, x_n]/(x_1 + \dots + x_n), \quad S^G = \mathbb{R}[E_2, \dots, E_n],$$

and the degrees of  $A_{n-1}$  are  $2, 3, \dots, n$ .

# Remark

The choice of a set of basic invariants is not unique in general (even if up to constant).

For example, for  $A_{n-1}$ , you can take the power sums

$$P_\alpha = \sum_{i=1}^n x_i^\alpha \quad (2 \leq \alpha \leq n)$$

as a set of basic invariants.

So it is natural to ask whether there exists a “canonical” choice of basic invariants. This problem was studied by Saito–Yano–Sekiguchi in 1980.

# History

In 1980, Saito–Sekiguchi–Yano wrote in an article:

*So far, however, there has seldom been any attempt to distinguish one system of generators from any other. The main purpose of this article is to show that there exists a uniquely specified generator system  $f_1, \dots, f_n$  for the ring  $S^G$  (up to constant factors) by adding a certain condition on  $f_1, \dots, f_n$ .*

...

*One may ask whether one can find a generator system  $f_1, \dots, f_n$  such that  $\frac{\partial}{\partial f_n} (\langle df_i, df_j \rangle)_{i,j}$  is a constant matrix.*

Here  $\langle , \rangle$  denotes a metric on the cotangent bundle  $TV^*$  induced from the Euclidean inner product on  $V$ .

Such a set of basic invariants is called a set of flat invariants.

- 1 In the article, Saito–Yano–Sekiguchi proved the uniqueness of a set of flat invariants for irreducible finite reflection groups.
- 2 They also showed the existence by explicitly constructing flat invariants except  $E_7, E_8$ .
- 3 For  $E_7$ , a set of flat invariants was constructed by Yano in 1981.
- 4 The existence for all irreducible finite reflection groups was proved by Saito in an article published in 1993.

# Example: calculation of flat invariants for $A_3$

Since the degrees of  $A_3$  are 2, 3, 4, up to constant multiple, basic invariants must be of the form

$$f_1 = E_2, \quad f_2 = E_3, \quad f_3 = E_4 + cE_2^2 .$$

If we impose Saito–Yano–Sekiguchi's condition

$$\frac{\partial}{\partial f_3} (\langle df_i, df_j \rangle)_{i,j} = \text{a constant matrix},$$

we obtain

$$c = -\frac{1}{8} .$$

# Satake's Good Basic Invariants

In 2020, Satake proposed a notion of good basic invariants which are defined using a Coxeter element. He proved that good basic invariants are flat invariants.

To explain his definition, I recall the root system, the simple system and the Coxeter element.

# Root System and Simple System

Let  $G$  be an irreducible finite reflection group acting on a Euclidean space  $V$  of dimension  $n$ .

A root system  $\Phi$  of  $G$  is a finite subset of  $V$  and it is constructed as follows. For each reflection  $s \in G$ , take a root  $\alpha_s$ , and consider the set

$$\Phi = \{\pm\alpha_s \mid s \text{ is a reflection in } G\}.$$

Here, the lengths of the roots must be chosen so that  $G(\Phi) = \Phi$ .

A simple system  $\Delta$  is a subset of a root system  $\Phi$  satisfying:

- $\Delta$  is a basis of  $V$ ,
- Each  $\alpha \in \Phi$  is either a nonnegative linear combination of  $\Delta$  or a nonpositive linear combination of  $\Delta$ .

A simple system exists and any two simple systems are conjugate under  $G$ .

$G$  is generated by reflections corresponding to the roots in  $\Delta$ .

# Coxeter Elements

Given a simple system  $\Delta$ , a Coxeter element is constructed as follows. Enumerate elements of  $\Delta$  as  $\alpha_1, \dots, \alpha_n$ .

Let  $s_1, \dots, s_n \in G$  be the corresponding reflections. Then

$$g = s_1 \cdots s_n$$

is called a Coxeter element.

Any two Coxeter elements are conjugate under  $G$ .

The order of a Coxeter element is called the Coxeter number of  $G$  and it is equal to the highest degree  $d_n$ .

# Properties of Coxeter elements

Take a Coxeter element  $g$  of an irreducible finite reflection group  $G$  and let  $h(= d_n)$  be the Coxeter number. To deal with eigenvectors of  $g$ , we consider the complexification  $V_{\mathbb{C}}$  of  $V$ .

## Theorem

- 1  $g$  has a primitive  $h$ -th root of unity  $\zeta$  as an eigenvalue. The eigenspace is one-dimensional and eigenvectors are regular (i.e. do not lie on any reflection hyperplanes).
- 2  $N$  eigenvalues of  $g$  are  $\zeta^{1-d_\alpha}$ , where  $d_1, \dots, d_n$  are the degrees of  $G$ .

## Definition (Satake 2020)

A triple  $(g, \zeta, q)$  is called an admissible triplet. Here  $g \in G$  is a Coxeter element,  $\zeta \in \mathbb{C}$  is an eigenvalue of  $g$  which is a primitive  $h$ -th root of unity and whose eigenvector  $q \in V_{\mathbb{C}}$  is regular.

## Example: $A_3$

A root system and a simple system:

$$\Phi = \{\pm(\mathbf{e}_i - \mathbf{e}_j) \mid 1 \leq i < j \leq 4\}, \quad \Delta = \{\mathbf{e}_1 - \mathbf{e}_2, \mathbf{e}_2 - \mathbf{e}_3, \mathbf{e}_3 - \mathbf{e}_4\}$$

The reflections  $s_1, s_2, s_3$  corresponding to the simple roots and the coxeter element  $g = s_1 s_2 s_3$ :

$$s_1 = \begin{pmatrix} 0 & 1 & 0 & 0 \\ 1 & 0 & 0 & 0 \\ 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & 1 \end{pmatrix}, \quad s_2 = \begin{pmatrix} 1 & 0 & 0 & 0 \\ 0 & 0 & 1 & 0 \\ 0 & 1 & 0 & 0 \\ 0 & 0 & 0 & 1 \end{pmatrix}, \quad s_3 = \begin{pmatrix} 1 & 0 & 0 & 0 \\ 0 & 1 & 0 & 0 \\ 0 & 0 & 0 & 1 \\ 0 & 0 & 1 & 0 \end{pmatrix}, \quad g = \begin{pmatrix} 0 & 0 & 0 & 1 \\ 1 & 0 & 0 & 0 \\ 0 & 1 & 0 & 0 \\ 0 & 0 & 1 & 0 \end{pmatrix}$$

The order of  $g$  is four and the eigenvalues are  $-i, -1, i, 1$  with eigenvectors

$$q_1 = \begin{pmatrix} i \\ -1 \\ -i \\ 1 \end{pmatrix}, \quad q_2 = \begin{pmatrix} -1 \\ 1 \\ -1 \\ 1 \end{pmatrix}, \quad q_3 = \begin{pmatrix} -i \\ -1 \\ -i \\ 1 \end{pmatrix}, \quad q_4 = \begin{pmatrix} 1 \\ 1 \\ 1 \\ 1 \end{pmatrix}.$$

The last one corresponds to the fixed line  $\mathbb{R}(\mathbf{e}_1 + \cdots + \mathbf{e}_4)$  and hence is irrelevant to  $A_3$ .  $q_1, q_3$  are regular (while  $q_2$  is not). Therefore  $(g, i, q_3)$  is an admissible triplet.

# Good Basic Invariants

Fix an admissible triplet  $(g, \zeta, q)$  and take a basis  $\{q_1, q_2, \dots, q_n = q\}$  of  $V_{\mathbb{C}}$  consisting of eigenvectors of the Coxeter element  $g$  with

$$gq_{\alpha} = \zeta^{1-d_{\alpha}} q_{\alpha}.$$

Let  $z_1, \dots, z_n$  be the associated linear coordinates of  $V_{\mathbb{C}}$ .

Set

$$I_{\alpha} = \{(a_1, \dots, a_n) \in \mathbb{Z}_{\geq 0}^n \mid a_1 d_1 + \dots + a_n d_n = d_{\alpha}, a_1 + \dots + a_n \geq 2\}$$

for  $1 \leq \alpha \leq n$ .

## Definition (Satake 2020)

A set of basic invariants  $f_1, \dots, f_n$  is good w.r.t. the admissible triplet  $(g, \zeta, q)$  if  $f_1, \dots, f_n$  satisfy

$$\frac{\partial^a f_{\alpha}}{\partial z^a}(q) = 0 \quad (1 \leq \alpha \leq n, a \in I_{\alpha}).$$

Here,

$$\frac{\partial^a}{\partial z^a} := \prod_{\beta=1}^n \frac{\partial^{a_\beta}}{\partial z_\beta^{a_\beta}}$$

for  $a = (a_1, \dots, a_n) \in \mathbb{Z}_{\geq 0}^n$ .

## Theorem (Satake 2020)

- 1 For a given admissible triplet, a set of good basic invariants exists.
- 2 The vector subspace of  $S^G$  spanned by a set of good basic invariants depends neither on the choice of admissible triplet, nor on the choice of coordinates  $z_1, \dots, z_n$ .
- 3 A set of good basic invariants is flat.

## Example: $A_3$

The relationship between the standard coordinates of  $\mathbb{R}^4$  (restricted to  $V = \{x_1 + \cdots + x_4 = 0\}$ ) and the new coordinates  $z_1, z_2, z_3$  associated to  $q_1, q_2, q_3$  is

$$\begin{pmatrix} x_1 \\ x_2 \\ x_3 \\ x_4 \end{pmatrix} = z_1 q_1 + z_2 q_2 + z_3 q_3.$$

Substituting this into  $E_2, E_3, E_4$ , we have

$$\begin{aligned} E_2 &= -2z_2^2 - 4z_1z_3, & E_3 &= 4z_1^2z_2 + 4z_2z_3^2, \\ E_4 &= -z_1^4 + z_2^4 - 4z_1z_2^2z_3 + 2z_1^2z_3^2 - z_3^4. \end{aligned}$$

Given that  $d_1 = 2, d_2 = 3, d_3 = 4, I_1 = I_2 = \emptyset$  and  $I_3 = \{(2, 0, 0)\}$ . For  $f_1 = E_2, f_2 = E_3, f_3 = E_4 + cE_2^2$  to satisfy the goodness condition,

$$\frac{\partial^2 f_3}{\partial^2 z_1^2}(q_3) = 4 + 32c = 0 \quad \therefore c = -\frac{1}{8}$$

# Remarks

- 1 Kyoji Saito's flat structure contains not only flat invariants but also a product structure on  $TV$ . In 2020, Satake also found a formula expressing the product in terms of the good basic invariants and its derivatives.
- 2 Satake's definition of good basic invariants includes finite complex reflection groups. In that case, a Coxeter element must be replaced by a  $d_n$ -regular element.
- 3 In the joint work with Minabe, we showed the existence and the uniqueness of good basic invariants for duality groups, and also obtained a formula for the product (work in progress).

# References

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